1F2/2142V

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RPTO/SB/21 (08-00) Rease type a plus sign (+) inside this box -> + Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Untier the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. 10/054,749 **Application Number** TRANSMITTAL Filing Date January 18, 2002 **FORM** First Named Inventor Stork (to be used for all correspondence after initial filing) Group Art Unit 2142 **Examiner Name** Blair, D. B. Total Number of Pages in This Submission 6 Attorney Docket Number 015358-006710US ENCLOSURES (check all that apply) After Allowance Communication to Assignment Papers Fee Transmittal Form (for an Application) Group Appeal Communication to Board of Fee Attached ☐ Drawing(s) Appeals and Interferences Appeal Communication to Group Licensing-related Papers Amendment / Response (Appeal Notice, Brief, Reply Brief) Petition Routing Slip (PTO/SB/69) After Final Proprietary Information and Accompanying Petition Petition to Convert to a Affidavits/declaration(s) Status Letter **Provisional Application** Power of Attorney, Revocation Other Enclosure(s) Extension of Time Request Change of Correspondence Address (please identify below): 1) Return receipt postcard Terminal Disclaimer Express Abandonment Request 2) One (1) reference Request for Refund Information Disclosure Statement CD, Number of CD(s) The Commissioner is authorized to charge any additional fees to Certified Copy of Priority Deposit Account 20-1430. Remarks Document(s) Total number of pages does not include cited references. Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Townsend and Townsend and Crew LLP Firm Suiit B. Kotwal Reg No. 43,336 Individual name S.B. Kobwal Signature Date April 26, 2005

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<u>PATENT</u>

Attorney Docket No.: 015358-006710US

Client Reference No.: ID-RII-270

IN THE JUNEAU STATES PATENT AND TRADEMARK OFFICE

In re application of:

DAVID G. STORK et al.

Application No.: 10/054,749

Filed: January 18, 2002

For: METHOD AND APPARATUS FOR

PERMISSIONS BASED ACTIVE DOCUMENT WORKFLOW

Examiner: Blair, D. B

Art Unit: 2142

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37

CFR §1.97 and §1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

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The reference cited on the attached PTO/SB/08A and PTO/SB/08B forms is being called to the attention of the Examiner. A copy of the reference is not enclosed. It is respectfully requested that the cited reference be expressly considered during the prosecution of this application, and the reference be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information, and no inference should be made that the information and references cited are, or are considered to be material to patentability because they are in this statement. No inference should be made that the information and references cited are prior art merely because they are in this statement.

DAVID G. STORK et al. Application No.: 10/054,749

Page 2

Applicant believes that <u>no fee is required</u> for submission of this statement.

However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

S. B. Kotwal

Sujit B. Kotwal Reg. No. 43,336

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				Art Unit	2142	
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Sheet	1	of	2	Attorney Docket Number	015358-006710US	

U.S. PATENT DOCUMENTS+						
Examiner	Cite No.1	Document Number Number Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
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Substitute for form 1449B/PT INFORMATION L STATEMENT BY APPLY (use as many sheets as necessary)

Sheet

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Complete if Known					
Application Number	10/054,749				
Filing Date	January 18, 2002				
First Named Inventor	Stork, David G.				
Art Unit	2142				
Examiner Name	Blair, D. B.				
Attorney Docket Number	015358-006710US				

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials * No. Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue nur publisher, city and/or country where published.					
	AA	KOHLER et al., "Liveness Preserving Composition of Behaviour Protocols for Petri Net Agents," Technical Report, Universitat Hamburg, Fachbereich Informatik (2001).			
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